

Arvind Borde / MTH675, Unit 8: Introduction to Surfaces

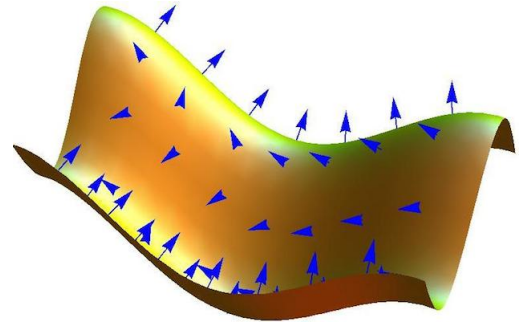
1. Surfaces (2d) in \mathbb{R}^3

We'll assume, for now, that we know intuitively what a (two-dimensional) surface looks like in \mathbb{R}^3 ,



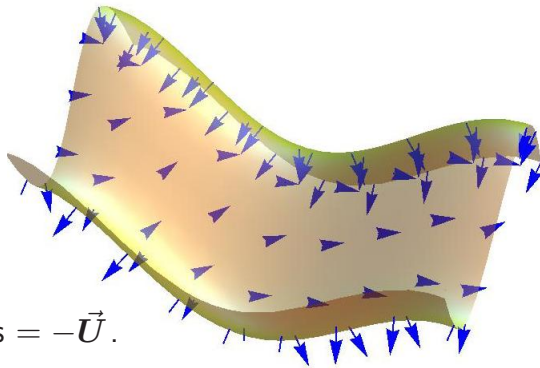
1 and also have some intuitive notion of curvature.

For a smooth surface, we expect there to be a normal (perpendicular) at every point:



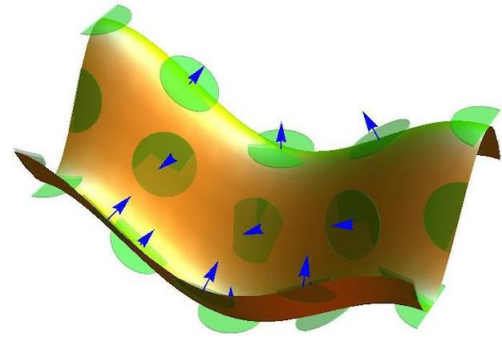
2

Actually, two (every normal has an evil twin, its negative):



3 If \vec{U} is a normal, so is $-\vec{U}$.

There are also (unit) tangent vectors at each point, spanning a tangent plane.



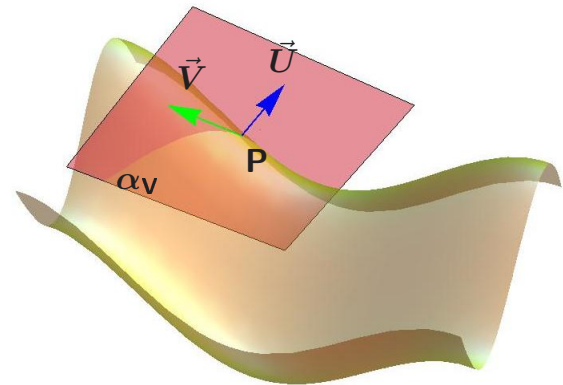
4

Pick a point \mathbf{P} on a surface, $\mathcal{M} \subset \mathbb{R}^3$, and let \vec{U} be a unit normal at that point.

Picking one of the unit tangent vectors, \vec{V} , at \mathbf{P} , the plane spanned by \vec{U} and \vec{V} will intersect the surface at a curve, $\alpha_{\mathbf{V}}$.

We call $\alpha_{\mathbf{V}}$ the _____ of \mathcal{M} in the direction \vec{V} .

5



6

ADDITIONAL NOTES

(1) Had we picked $-\vec{U}$ as the normal, would we get the same α_V or an “opposite” one?

 So, how does the choice of normal to a surface affect our discussion?
 Geometrically one of \vec{U} , $-\vec{U}$ will be the same as something we’ve seen, the other will be its oppo.

7

(2) But what’s this “something” we’ve seen? _____
 In our one-dimensional discussion, that vector was *defined* as _____', hence fully determined.
 Here, the apparent ambiguity in the normal to a surface, \vec{U} , means that we have $\vec{U} = \underline{\hspace{2cm}}$.
 Keep this in mind, and get back to the curve α_V .

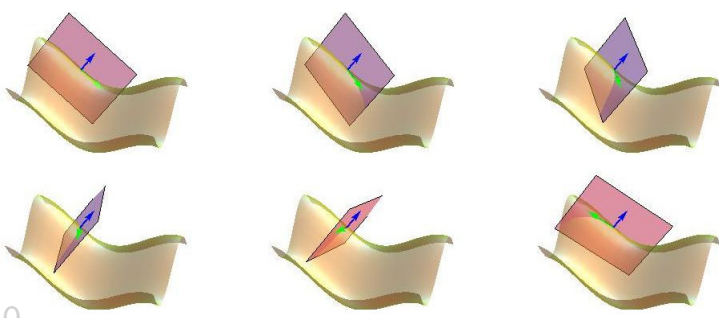
8

α_V will have some curvature at the point \mathbf{P} , k_P .
 There will be a normal to the surface \mathcal{M} at \mathbf{P} , \vec{U} .
 We define the _____
 _____, $k_U(\vec{V})$, as

$$k_U(\vec{V}) \equiv \begin{cases} \quad, & \text{if } \vec{U} = \vec{N} \\ \quad, & \text{if } \vec{U} = -\vec{N} \end{cases}$$

9

For fixed \vec{U} , different normal sections at \mathbf{P} have different curvatures, $k_U(\vec{V})$, depending on \vec{V} :



10

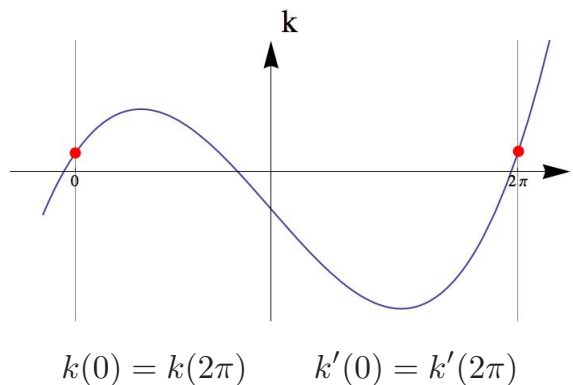
There are two directions, \vec{V}_1 and \vec{V}_2 , where $k_U(\vec{V})$ has minimum and maximum values, k_1 and k_2 , called the _____ at \mathbf{P} .
 Further \vec{V}_1 and \vec{V}_2 are orthogonal.
 The _____, K , of \mathcal{M} at \mathbf{P} is defined as

11

The existence of maximum and minimum values for k is an extension of Rolle’s theorem.
 As \vec{V} rotates through 2π in the tangent plane, k returns to its starting value, *as does its derivative*.
 (Showing the directions are orthogonal requires further argument.)

12

ADDITIONAL NOTES



13

Had we picked $-\vec{U}$ as the unit normal what would happen to

(3) $k_1?$ _____

(4) $k_2?$ _____

(5) $K?$ _____

Does K capture our intuitive ideas of curvature?

14

To support intuition, we need machinery.

In 3d if a surface is represented by $z = f_z(x, y)$, then the set of level surfaces will be

$$z = f_z(x, y) + w,$$

or

$$w = z - f_z(x, y).$$

15

The normal is the gradient of w ,

$$\nabla w = \nabla(z - f_z(x, y))$$

16

2. Examples

Example 1: A plane,

Let's pick \mathcal{M} to be the plane $z = \text{constant}$.

With the usual Euclidean norm

(6) What are the unit normals? $\vec{U} =$ _____

(7) What are the unit tangents?

Of the form $\vec{V} = (\text{_____}, \text{_____}, \text{_____})$,

where θ is the angle \vec{V} makes with the x -axis.

17

The plane spanned by \vec{U} and any of these tangents, will intersect \mathcal{M} in a _____.

Thus, for any \vec{V} , α_V has _____ curvature.

So

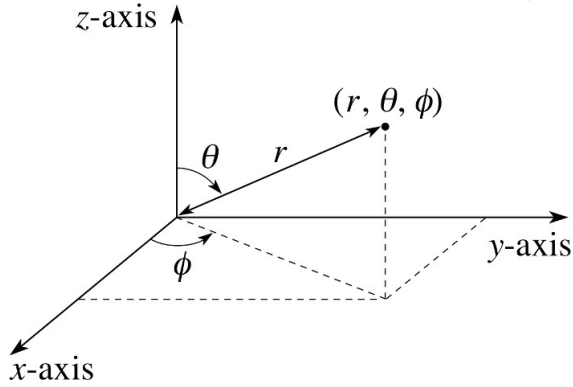
$$K = k_1 k_2 =$$

as expected.

18

ADDITIONAL NOTES

Example 2: A sphere, in polar coordinates (r, θ, ϕ) .



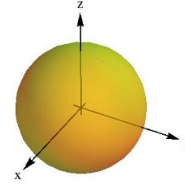
19

Let's pick \mathcal{M} to be the sphere $r = R$.

(8) What are the unit normals? $\vec{U} = \underline{\hspace{2cm}}$

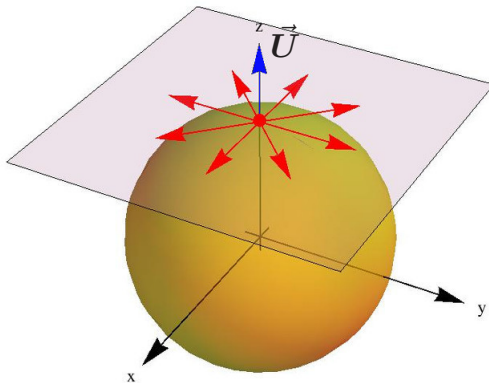
The unit tangents at an arbitrary point are hard to calculate, but pick \mathbf{P} to be the point $(R, 0, 0)$.

(9) Plot \mathbf{P}, \vec{U} .



20

Tangents & tangent plane



21

Clearly, for any other choice of point, \mathbf{P} , the normal sections will again be great circles.

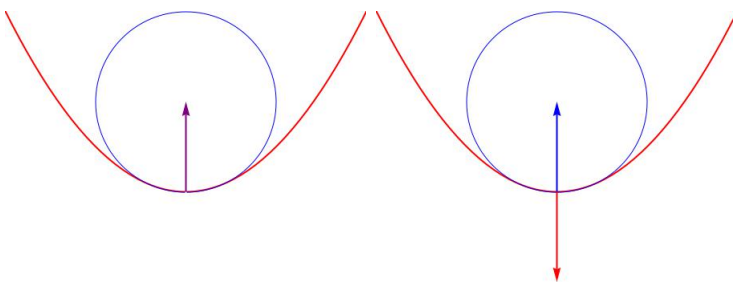
(10) What's the curvature, k , of any of these great circles? $\underline{\hspace{2cm}}$

(11) What's the Gauss curvature, K of a sphere? $\underline{\hspace{2cm}}$

(12) Reasonable? $\underline{\hspace{2cm}}$

22

I was slimy about something up there. Hint:



(13) What?

23

$\underline{\hspace{2cm}}$
 $\underline{\hspace{2cm}}$
 $\underline{\hspace{2cm}}$

So, if the normals to a sphere point outward, the individual principal curvatures are both $\underline{\hspace{2cm}}$

Despite that fudgery, K_{sphere} is still $1/R_{\text{sphere}}^2$.

24

ADDITIONAL NOTES

Example 3: A saddle (hyperbolic paraboloid)

Defined by the formula

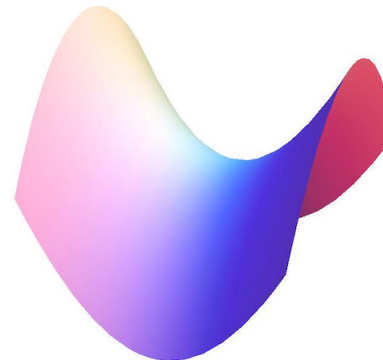
$$z = a(y^2 - x^2)$$

(14) What is its cross-section in the y - z plane?
 =====

(15) What is its cross-section in the x - z plane?
 =====

25

What's a "saddle"?



26

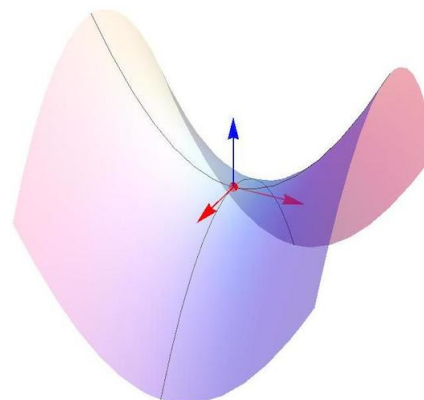
If $w = z - ay^2 + ax^2$, then

(16) what's ∇w ?

$$\begin{aligned} \nabla w &= (\partial_x w, \partial_y w, \partial_z w) \\ &= (\underline{\hspace{1cm}}, \underline{\hspace{1cm}}, \underline{\hspace{1cm}}) \end{aligned}$$

(17) What's the unit normal at the origin? =====

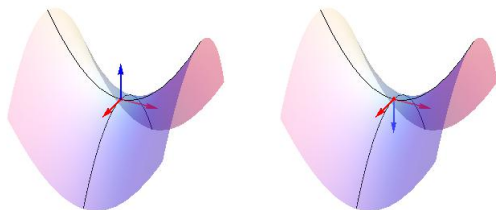
27



A normal and two tangents to normal sections.

28

Now, no matter whether we pick



we get one principal curvature 0, and one 0.

29

(18) So, at the origin, K_{saddle} =====

With a suitable definition of a "saddle" we can show that this is true everywhere.

30

ADDITIONAL NOTES
